





PTO/SB/05 (08-00)

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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| UTILITY | Attorney Docket No. 0756-2255 |
| PATENT APPLICATION | First Inventor Jun KOYAMA et al |
| TRANSMITTAL | Title NONVOLATILE MEMORY, SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | THE SAME |
| (Only for new nonprovisional applications under 57 CTR 1.55(0)) | Express Mail Label No. |
| APPLICATION ELEMENTS | Assistant Commissioner for Patents |
| See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Box Patent Application Washington, DC 20231 |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) | 7. CD-ROM or CD-R in duplicate, large table or |
| (Submit an original and a duplicate for fee processing) | Computer Program (Appendix) |
| Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. Specification [Total Pages 53] | a. Computer Readable Form (CRF) |
| (preferred arrangement set forth below) | b. Specification Sequence Listing on: |
| Descriptive title of the invention Cross Reference to Related Applications | i. CD-ROM or CD-R (2 copies; or |
| - Statement Regarding Fed sponsored R & D | ii. □ paper |
| - Reference to sequence listing, a table, | c. Statements verifying identity of above copies |
| or a computer program listing appendix - Background of the Invention | ACCOMPANYING APPLICATION PARTS |
| - Brief Summary of the Invention | 9. Assignment Papers (cover sheet & document(s)) |
| Brief Description of the Drawings (if filed) | 10. 37 CFR 3.73(b) Statement Power of |
| Detailed Description Claim(s) | (when there is an assignee) Attorney |
| - Abstract of the Disclosure | 11. English Translation Document (if applicable) |
| 4. Example Drawing(s) (35 U.S.C. 113) Figs. 1-15B [Total Sheets 13] | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations |
| 5. Oath or Declaration [Total Sheets \square] | Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment |
| a. Newly executed (original or copy) | 14. E Return Receipt Postcard (MPEP 503) |
| b. Copy from a prior application (37 CFR 1.63(d)) | (Should be specifically itemized) |
| (for continuation/divisional with Box 17 completed) | 15. E Certified Copy of Priority Document(s) Japanese |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) | Priority No.: 2000-023656 Filed Frbruary 1, 2000 |
| named in the prior application, see 37 CFR | (if foreign priority is claimed) 16. Other: Submission of Certified Priority Document |
| 1.63(d)(2) and 1.33(b) | 10. Ed Other. Submission of Certified Priority Edicament |
| 6. Application Data Sheet. See 37 CFR 1.76 17. If a CONTINUING APPLICATION, check appropriate box, and st | upply the requisite information below and in a preliminary amendment |
| or in an Application Data Sheet under 37 CFR 1.76: | |
| ☐ Continuation 🏋 ☐ Divisional ☐ Continuation-in-part (CIP) | of prior application No.:/ |
| Prior application information: Examiner | Group / Art Unit: |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under | |
| Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | |
| 18. CORRESPONDENCE ADDRESS | |
| Customer Number or Bar Code Label Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) | |
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| Name (Print/Type) Jeffrey L. Costellia Registration | lo. (Attorney/Agent) 35,483 |
| Signature J. Cartell | Date 2/1/0/ |

Burden Hour Statement: this fifth is betimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the argumn of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.